

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Shelton et al. Group Art Unit: Serial No.: Examiner: Filed: Docket No.: 51919-1041 For: Method for Generating A Display Utilizing Objects in an Object List INFORMATION DISCLOSURE STATEMENT **Assistant Commissioner for Patents** Washington, D.C. 20231 Sir: This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:  $\boxtimes$ under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last) П under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(p). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee) Enclosed is a check in the amount of \$\_\_\_\_\_. Please charge \$\_\_\_\_. to deposit account At any time during the pursuant to 37 CFR 1.25. The pendency of this application, please charge any fees required to Deposit Account Commissioner is hereby requested to credit any overpayment to Deposit Account No. Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of  $\boxtimes$ patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided. A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

on the form PTO 1449 and is enclosed herewith.



This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

By:

Scott A. Hørstemeyer; Reg./No. 3

100 Galleria Parkway, Suite 1750 Atlanta, Georgia 30339-5948 770-933-9500

**EXPRESS MAIL** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner of Patents and Trademarks, Box: Patent Application Washington, D.C. 20231, on

Express Mail No.

Signature

Attorney Docket No. Serial Noo Form PTO-1449 To Be Asaign 51919-1041 **Applicant** INFORMATION DISCLOSURE CITATION Shelton et al. (Use several sheets if necessary) Filing Date Group Herewith To Be Assigned U.S. PATENT DOCUMENTS Name Class Subclass Filing Date Document Date Examiner Item If Appropriate Number **Initials** 06/25/79 364 200 02/09/82 Coli 4,315,309 Α 06/09/81 Shirasaiki et al. 340 52 04/10/84 В 4,442,424 200 10/09/84 12/15/87 Agarwal et al. 364 C 4,713,754 200 09/27/84 364 4,688,167 08/18/87 Agarwal D 200 10/09/84 364 4,807,142 02/21/89 Agarwal E F G Н I J K FOREIGN PATENT DOCUMENTS Translation Country Class Subclass Document Date Number Yes No L M N 0 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Ochsner, et al., "Surgery", Society of University Surgeons, Vol. 64, No. 6, July 1969. P ! Barnett, Octo G., "The Application of Computer-Based Medical-Record Systems in Ambulatory Practice", Q The New England Journal of Medicine, Vol 310, No. 25, June 21, 1984, pp. 1643-1650. Bleich, et al. "Clinical Computing in a Teaching Hospital", The New England Journal of Medicine, Vol. 312, R No. 12, March 21, 1985, pp. 756-764. \* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. DATE CONSIDERED: **EXAMINER'S SIGNATURE:** 

Form PTO-1449  INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)					Attorney Docket No. 51919-1041				Serial No.  To Be Assigned		
					Applicar Shelton						
					Filing Date Herewith				Group  To Be Assigned		
	·		U.S. PAT	TENT DOCUM	MENTS						
Examiner Initials	Item	Document Number	Date		Name		Class	Sub	oclass	Filing Date If Appropriate	
	AA	Fries, James F., "Time-Oriented Patient Records and a Computer Databank", JAMA, Vol. 222, No. 12,									
		December 18, 1972, pp. 1536-1542.									
	AB	Heidelberg, et al. "Methods of Information in Medicine", Official Journal of European Federation of									
/		Medical Informatics, Vol. 19, No. 3, July 1980, pp. 157-1162.									
	AC	McDonald, et al., "A Computer-Based Record and Clinical Monitoring System for Ambulatory Care",									
/		AJPH, March, 1977	, Vol. 67, No. 3,	pp. 240-245.					,		
,	AD	McDonald, et al., "Minicomputer Improves Clinical Health Care, Mini-Micro Systems, October 1979, pp. 86-92.									
'											
	AE	McDonald, et al., "I	Data Base Manag	gement, Feedbac	k, Control and th	e Regn	strief Me	dical	Record	", The Sixth	
1		Annual Symposium	Annual Symposium on Computer Applications in Medical Care, Oct. 30-Nov. 2, 1982, Washington, D.C.,52-60.								
	AF	Stead, et al., "Practicing Nephrology with a Computerized Medical Record", Kidney International, Vol. 24,									
)		1983, pp. 446-454.									
j	AG	Adiba et al., "Management of Complex Objects as Dynamic Forms", Proceedings o 14th VLDB Conference,									
,		Los Agneles, California 1988, pp. 134-147									
	AH	Barnett, et al., "COSTAR - A Computer-Based Medical Information System for Ambulatory Care", IEEE,									
J		Vol. 67, No. 9, Sept. 1979, pp. 1226-1237.									
	AI	Bass, Leonard J., "An Approach to User Specification of Interactive Display Interfaces", IEEE Transaction On									
/		Software Engineering	ftware Engineering, Vol, SE-11, No. 8, August 1985, pp. 686-698.								
1	AJ	Beaman, et al., "A Medical Information System and Data language for Ambulatory Practices", IEEE, Vol. 12,									
j		No. 11, November 1979, pp. 9-17.									
j	AK	Birman, et al., "MDB-1: A New Database System for Medical Applications", Computers in Cardiology, Sept. 1984, pp. 309-312.									
,											
		citation considered, who				9. Drav	v line thro	ough ci	tation if	not in	
EXAMINER'S					ATE CONSIDE	RED:					

Form PTO-1449						Attorney Docket No. 51919-1041			Serial No.  To Be Assigned		
INFORMATION DISCLOSURE CITATION						Applicant Shelton et al.				<u> </u>	
(Use several sheets if necessary)						Filing Date Herewith			Group To Be Assigned		
			U.S. PAT	TENT DOCU	JMEN'	ΓS					
Examiner Initials	Item	Document Number	Date		Nam	e	Class	Sub	oclass	Filing Date If Appropriate	
1	BA	Blum, Robert L., "Automating the Study fo Clinical Hypotheses on A Time-Oriented Data Base The RX Project"									
,		Stanford Dept. of Computer Science, Report No. STAN-CS-79-816, November 1979.									
	BB	Bough, et al., "A New Microcomputer Network for Integrating Cardiac Data Management", IEEE, 1984,									
		pp. 229-232.									
/·	ВС	Fang, Kwang-Ya, "	The THOR Temp	plate Editor",	Nationa	al Computer Conf	erence, 19	985, p	p. 475-4	179.	
[	BD	Heid, Jim, "dBase Mac In Business", Ashton-Tate Corporation, Torrance, CA, 1987, pp. 23-27, 48-49.									
(	BE	Hixson, Amanda C., "RaidFile for Business User", Bantom Books, New York, 1987, pp. 98-103.									
	BF	Brennecke, et al., "Tree-Base: A query Language for a Time-Oriented Cardiology Data Base System",  Computers in Cardiology, 1986, pp. 381-384.							em",		
,											
<i>j</i>	BG	Kuhn, et al., "Automated Ambulatory Medical Record", Stanford Dept. fo Computer Science, Report No.									
L		STAN-CS-82-928, August 1982.									
J	ВН	Larson, James A., "A Visual Approach to Browsing in a Database Environment", Computer, June 1986.									
	BI	Maryanski, et al., "SURF: A Semantic Update and Retrieval Facility", AFIPS Conference Proceedings,									
)		Vol, 56, 1987, pp. 368-373.									
J	BJ	McDonald, et al., "The Benefits of Automated Medical Record Systems for Ambulatory Care",									
		Proceedings – The Tenth Annual Symposium on Computer Applications in Medical Care, 1986, pp. 157-171.									
	BK	Musen, et al., "OPAL: Toward the Computer-Aided Design of Oncology Advice Systems",									
j		Proceedings - The	Tenth Annual Sy	outer Applications in Medical Care, 1986, pp. 43-52.							
conformance and	not consid	citation considered, who lered. Include copy of t		communication	to the a	applicant.	w line thro	ough ci	itation if	not in	
EXAMINER'S	SIGNAT	URE:			DATE	CONSIDERED:					

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE CITATION						Attorney Docket No. 51919-1041			Serial No.  To Be Assigned		
						Applicant Shelton et al.					
	(	Use several sheets i			Filing Date Herewith			Group To Be Assigned			
			U.S. PAT	TENT DOCU	UMEN'	TS	· · · · · · · · · · · · · · · · · · ·				
Examiner Initials	Item	Document Number	Date		Nam	ie	Class	Subcla	1	Filing Date If Appropriate	
	CA	Rowe, Lawrence A., "'Fill-In-The-Form' Programming", Proceedigns of VLDB 1985, Stockholm, Germany									
/		pp. 394-404.			٠.						
	СВ	Shortcliffe, et al., "	Shortcliffe, et al., "Expert Systems Research: Modeling the Medical Decision Making Process, Stanford Dept.								
		Of Computer Science, Report No. STAN-CS-82-932, September 1982.									
ĺ	СС	Morgan, Howard L., "Form Management", Communications of the ACM, Vol, 25, No. 7, July 1982,									
		pp.453-478.									
	CD	Wald, et al., "Produ	activity Tools for	a Database N	 ∕Ianagen	nent System", The	e IEEE Co	omputer !	Socie	ty's Seventh	
		International Comp	uter Software & /	Applications	Confere	ence, No. 7, Comp	sac83, No	ovember	1983	, pp. 179-187.	
	CE	Walker, James W.,				-	<del></del>				
(											
	CF	Fenna, et al, "Multi-Purpose Data Base for Coronary Artery Disease", Computers In Cardiology Conference,									
/		September, 1984, p	p. 537-540.								
	CG	Yao, et al., "Structu	red Application (	Generation U	sign XC	DB", AFIPS Confe	erence Pro	oceedings	s,		
ſ		National Computer	Conference, pp. 4	481-491.							
(	СН	Yasnoff, et al., "A I	Database System	for Research,	, Teachi	ng, and Clinical Γ	Decision N	/aking in	Carc	diology",	
		Computers in Cardiology Conference, 1984, pp. 489-492.									
(	CI	Zloof, M. M., "Que	ry-By-Example:	A Data Base	Langua	ge", IBM System	J, No. 4,	1977, pp	. 324	-343	
,					<del></del>				<del> </del>		
	CJ	Butterworth, Manning, "Forms Definition Methods", Fifth Annual International Phoenix Conference on									
۷		Computers and Communications, 1986, pp. 703-712.									
	CK	Foley, et al., "Fundamentals of Interactive Computer Graphics", Addison-Wesley Publishing Company, Reading									
(,	· 	Massachusetts, 1982.									
* EXAMINER:	Initial if c	citation considered, whe ered. Include copy of the	ther or not citation his form with next	is in conforma	ince with	MPEP § 609. Dra	w line thro	ugh citati	on if r	not in	
EXAMINER'S				***************************************		CONSIDERED:					
				1	ı						



		4

Form PTO-1449 Attorney Docket No. Serial No. 51919-1041 To Be Assigned **Applicant** INFORMATION DISCLOSURE CITATION Shelton et al. Filing Date (Use several sheets if necessary) Group Herewith To Be Assigned **U.S. PATENT DOCUMENTS** Filing Date Name Subclass Examiner Item Document Date Class Initials Number If Appropriate Myers, Brad A., "A Taxonomy of Window Manager User Interfaces", IEEE Computer Graphics & Applications, DA September 1988, pp. 65-84. DB Poole, et al., "Your ATARI Computer, A Guide to ATARI 400/800 Computers", Osborne/Mc-Graw-Hill, Berkeley, California, 1982, pp. 405-411. Scheifler, et al., "The X Window System", ACM Transactions in Graphics, Vol., 5, No. 2, April 1986, DC pp. 79-109. Manual entitled: "Using 1-2-3 Special Edition", Developed by QUE Corporation, 1987, pp. 12-35, 46-56, DD 66-69, 78-80, 98-101, 274-278, 756-757. DE Data Base Search through West Publishing Co., 2000, pp. 1-74. Hanson, et al., "Advanced Programmer's Guide featuring dBASE III and dBASE II, 1985, pp. 115 & 128. DF DG Everest, Gordon C., "Database Management - Objectives, System Functions, and Administration", McGray-Hill Book Company, New York, 1986, pp. 435-439. System Purchase Agreement, Harborview Medical Center, Seattle, Washington, Purchase Order No. 6891, DH November 5, 1986. Data Base Search through West Publishing, 2000, pp. 76-87. DI DJ DK

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:** 

DATE CONSIDERED: